



Spectroscopy in Magnetic Confined Fusion Plasmas PART I

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Sun: a Cosmic Power Plant

Energy production in the sun by nuclear fusion of lightest elements: proton-proton (pp) cycle



Mass defect in this reaction: 4.8x10⁻²⁹ kg => 1 kg of H produces 6.4x10¹⁴ J of energy

plasma

pressure: 1×10^{16} Pa = 1×10^{11} bar (core) temperature: 1.3 keV (core) density: 1×10^{32} m⁻³ (core)







- Introduction
- Tokamak functionality
 - Examples from spectroscopy in MCF
 - JET tokamak
 - W as plasma-facing material
- ITER
 - Set-up the larget machine in the world
 - ITER optical diagnostics
- W7-X
 - A further step in complexity

Nuclear Fusion Reactions and Reaction Partners





- Thermonuclear fusion with largest reaction rate: dt fusion reaction
- Inertial (ICF) and magnetically confined fusion (MCF) plasma studies are aiming in the exploitation of the DT reaction (on earth)



Spectroscopy of fuel H_2 , D_2 , T_2 , HD, HT, DT molecules and H, D, T atoms and of fusion ash, He atoms and ions, required!

Maxwell-averaged reaction activity vs ion temperatures for fusion reactions



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Example of Emission Spectra in Fusion Plasmas



D₂ and T₂ emission spectra (plasma edge)



H, D, T emission spectra (exhaust)



D. Hillis RSI 1999

Nuclear Fusion Reactions: DT



Measure for the fusion power in a 50:50 DT reaction is the so-called triple product:

ion density (n_i) x ion temperature (T_i) x confinement time (τ_E)

Ignition in magnetically confined fusion plasmas achievable if:

 $n_{i} [10^{20} \text{ m}^{-3}] \times T_{i} [10 \text{ keV}] \times t_{E} [5s] \ge 5 \times 10^{21} \text{ keV s m}^{-3}$ (Lawson criterion)



Requires D and T above 10keV, but the fuel starts as D_2 or T_2 gas or as DT ice pellet entering cold plasma in eV range.

- Spectroscopy from eV to keV range in one device
- Emission in the IR-VIS towards VUV-X-ray range



Nuclear Fusion Reactions: DT Fuel and Restrictions



Plasma densities in the range of 10²⁷ m⁻³⁻10³²m⁻³



LASER-fusion (ICF)



Plasma densities in the range of 10¹⁷-10²¹ m⁻³ D natural isotope in sea water: 0.015%

T must be breeded in a fusion reactor: ⁷Li+n -> T + α + n' - 2.47 MeV ⁶Li+n-> T+ α + 4.78 MeV

T is radioactive with a half-life of 12 years

Challenging environment (access)

- radioactive fuel
- first wall material activation
- neutron impact

Reduced number of spectroscopic techniques applicable

Magnetic confinement (MCF)

Particles in Magnetised Plasmas





Splitting and Observation of Spectral Lines



- Line splitting due to magnetic field strength (measure the local field): Zeeman and Paschen-Back effect
- Orientation of the observation with respect to the magnetic field important: σ and π components



- Variables: energy of H atoms (origin of atoms), composition, and resolution of spectrometer
- Simulation tools available: x-paschen of ADAS suite etc.

Is a simple toroidal arrangement enough for MCF?



Particle drifts due to forces in (inhomogeneous) B-field:

In torus geometry two inherent drifts appear: ∇B drift and curvature drift [so-called "torus drift"]

$$\vec{\mathbf{v}}_D = \frac{m}{qB^3} \left(\mathbf{v}_{\parallel}^2 + \frac{1}{2} \mathbf{v}_{\perp}^2 \right) \vec{B} \times \nabla B$$



Pure toroidal magnetic field induces charge separation due to "torus drift"

- Charge separation induces vertical electrical field E
- Electrical field E and magnetic field B induces E x B drift and movement of all particles outward to the wall
- Second magnetic field component required (poloidal direction) => induces screw-like trajectory (helical field lines) and stability

 $\vec{\mathbf{v}}_D = \frac{\vec{F} \times \vec{B}}{\sigma R^2}$

 $\vec{\mathbf{v}}_D = \frac{\vec{E} \times \vec{B}}{R^2}$

Spectroscopy at varying magnetic field strength: High field side and low field side can be separated

Source Localisation by Spectrosopcy

"Cold" atoms near wall surfaces: localization possible via spectroscopy



R

OUTER

SOL

low B



Kukushkin IAEA2016

Bd

INNE

SO

Metallic surface cause reflection – simulations required

DIVERTOR

(Straylight)

Ø₽

Bo ~ 1/R

L-O-S

Magnetically Confined Fusion: Main Lines





Magnetically Confined Fusion: Tokamak Principle



Poloidal field component induced by plasma current resulting in helical, twisted magnetic field structure



Induced poloidal magnetic field is about 1/10 of toroidal field



Joint European Torus: JET Tokamak





Joint European Torus: Discharge Example





- JET plasma duration limited by available flux swing and copper coils required to induce plasma current
- Plasma discharge in different confinement modes possible: limiter, ohmic, L-mode, H-mode etc.

High Confinement Mode (H-mode)

- JÜLICH Forschungszentrum
- Transport barrier at the plasma edge (pedestal) reduces energy and particle exhaust
- Improved confinement in the confined plasma region (factor 2): basis for all scaling laws
- H-mode inherent micro-instable: release of particle to the first wall with pedestal collapse (ELMs)



Spectroscopy with temporal resolution of ~1 ms in H-mode required to resolve inter and intra-ELM phases

Example of ELM Cycle Analysis in JET Divertor



- ELM averaging techniques to obtain better statistic for analysis
- Particle flux driven during ELMs and post-outgassing can induce change of local plasma
 - => change from ionization to recombination dominated plasma conditions



Scaling Laws: Predict the Size for a Device with $\tau_E = 5s$ **J**ÜLICH

Energy confinement time $\tau_{\rm E}$:

- Experimental data cannot be fully analytical described: $\tau_{E} \propto l_{p} \times R^{2}$
- Quality of the thermal isolation (quality of magnetic cage) determined by τ_{E}
- Multi-machine scaling (self-similiar H-mode plasmas) to extrapolate to required τ_E (Wind tunnel approach)
- H-mode plasmas in fully attached conditions and mostly with graphite-based PFCs



Joint European Torus: JET Tokamak





"Natural impurities" to be considered: Be, W, C as well as O (leaks) and steel components (Ni, Cr, Fe)

The Challenge with W Spectroscopy



QC (W²⁷⁺ - W³⁵⁺)

(a)

Measurement

Modelled Spectrum

wavelength [nm]

Measurement

(flat W-profile)

B V 1s-2p (4.859nm

Modelled Spectrum QC (W²⁷⁺ – W³⁵⁺)

wavelength [nm]

W⁴³⁺

6

(during imp. acc.)



20

W⁴⁰⁺

W³⁸⁺ W³⁹⁺

(b)

The Challenge with W in Fusion Devices



- High radiation potential (core cooling)
- Prone to accumulate in core (transport)
- Low concentration is permitted (10⁻⁴ / 10⁻⁵), but W source is small
- W control mainly via spectroscopic tools by using divertor cooling by seeding (source) and central heating (core) as actuator





T. Pütterich PPCF 2008 09.05.2019

Why do we bother with W?





Plasma-Surface Interaction Processes





Processes depend on plasma facing material, material / projectile mass, material mix and concentration, impact energy (E_{in}), impact angle (a), roughness and temperature (T_{surf}), plasma conditions

Physical Sputtering of W

 Binary-collision approximation with perpendicular impact of mono-energetic projectiles



- W sputtering by intrinsic (Be, C) or seeding impurities (Ne, Ar, N) above threshold energy E_{th}
- Noticeable sputtering by D⁺ above E_{in}~250eV

Dominant in JET-ILW: Be ions ~ 0.5-1.5%





Gross and Net Erosion of W





- Difference provides local W balance: eroded, re-deposited, and transported away
- Erosion of W is in general low => enough fluence to compare both methods required

Gross and Net Erosion of W





- Net W erosion: 2.4-4.8 g (RBS and marker tiles)
- Gross W erosion: 40-60 g (WI spectroscopy)
- W re-deposition fraction: >92%



750

500

1100

Strike 250

Prompt Redeposition: a High-Z (Tungsten) Effect



- Prompt redeposition if ρ_{prompt} < 1 \implies large mass and large ionisation probability
- Results in LOW net erosion for W if prompt re-deposition is high.



W I and W II spectroscopy ?



ELM-induced W Sputtering in Detached Conditions



Intra-ELM W source can burn through the cushion of hydrogen and seeding neutrals







Ionisation and Recombination





Plasma conditions determined by e.g. line ratios and appropriate CRMs (e.g. YACORA)

The Power and Particle Exhaust Issue for ITER





- In order to meet the burning conditions, a certain machine size and auxiliary power topped-up by α-heating
- Neutrons transport 80% of the energy to the wall and blanket modules: heat load on the wall
- He-ash (residual from α heating) is transported out of the plasma on a faster timescale than the energy confinement time
- Particles (D⁺, T⁺, He²⁺, e⁻) are transported in the scrape-off layer towards the divertor target plates at glancing incidence
- In the original scaling law for ITER (unfueled H-mode with 500MW=P_{fus}) one reaches at the target plates more than 40 MW/m²
- In order to meet limits of materials / components (10MW/m²) one needs to ADAPT the plasma solution by strong divertor radiation
- To enable DT plasma operation: W concentration needs to be below 10⁻⁴ which also is connected to life time issues via erosion

Step Ladder Approach to a Nuclear Fusion Reactor



Worldwide approach for a reactor based on a METALLIC tokamak concept



Next Step Device: ITER





- To demonstrate (i) scientific and (ii) technical /plasma-surface interaction feasibility of fusion
- To achieve extended burn in inductivelydriven DT plasma operation with Q=10 (400s)
- To demonstrate readiness of essential fusion technologies (incl. plasma-facing components)
- To test tritium breeding module concepts with 14 MeV-neutron power load on the first wall

Major Radius: 6.2 m Minor Radius: 2.0 m Plasma volume: 840 m³ Surface area: 260m² W and 620m² Be Plasma current: 15 MA Magnetic field: 5.3 T (12 T) Energy content: 350 MJ Auxiliary heating: 70-100 MW Height: ~25 m and Diameter: ~26 m

ITER Timeline



Step-wise construction of the tokamak and accompanied exploration program



- Large number of spectroscopic systems in support and simulation
- Variation in spectroscopic sensitivity from low power to high power discharges
- ITER requires in addition seeding species: Ne, N₂, Kr as seeding gas + Ar for DMS

ITER research plan (2018) https://www.iter.org/technical-reports

















- Toroidal Coils

- Poloidal Coils

- Wall / Blanket

© 10









- -Diagnostics
- Tritium Plant
- Remote Handling
- Control & Data



Resting on 493 seismic pads, the 440 000-ton Tokamak Complex comprises 7 levels (2 underground).

ITER: Tokamak Building and Infrastructure





Complex (including the Diagnostics Building and the Tritium Building)

Diagnostic Building





Spectroscopic Diagnostics in ITER and their Purpose



PBS	System	Range	Function	PA	Status
	-,				
55E4	Divertor imp monitor	200 – 1000 nm	Impurity species and influx, divertor He density, ionisation front position, T_{i} .		PDR prep
55E2	Ha system	Visible region	ELMs, L/H mode indicator, n_T/n_D and n_H/n_D at edge and in divertor.		PDR held
55E3	VUV spectr. – main	2.3 – 160 nm	Impurity species identification.	Yes	PDR held
55EG	VUV spectr. – divertor	15 – 40 nm	Divertor impurity influxes, particularly Tungsten	Yes	PDR held
55EH	VUV spectr. – edge	15 - 40 nm	Edge impurity profiles	Yes	PDR held
55ED	X-ray spectr. – survey	0.1 – 10 nm	Impurity species identification	Yes	PDR prep
55EI	X-ray spectr. – edge	0.4 – 0.6 nm	Impurity species identification, plasma rotation, T _i .		PDR prep
55E5	X-ray spectrcore	0.1 – 0.5 nm			PDR prep
55E7	Radial x-ray camera	1 – 200 keV	MHD, Impurity influxes, Te	Yes	PDR held
55EB	MSE	Visible region	q (r), internal magnetic structure	Yes	PDR prep
55E1	Core CXRS	Visible region	T _i (r), He ash density, impurity density profile, plasma rotation, alphas.		CDR held
55EC	Edge CXRS	Visible region			PDR prep
55EF	Pedestal CXRS	Visible region	Best spatial resolution of H-mode pedestal	No	CDR held
55E8	NPA	0.01- 4 MeV	$n_{T}\!/n_{D}$ and $n_{H}\!/n_{D}$ at edge and core. Fast alphas.		PDR closed
55EA	LIF	Visible	Divertor neutrals		Pre- CDR held
55E	Hard X-ray Monitor	100keV – 20MeV	Runaway electron detection	ю	PDR prep

passive spectroscopy

active (NBI) spectroscopy

active (LASER) spectroscopy

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ITER Plasma Diagnostics => Spectrocopy

Spectroscopic Diagnostics in ITER and their Purpose



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passive spectroscopy

active (NBI) spectroscopy

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Emission Bands and Systems Components



	X-ray	VUV	Visible
Typical plasma region	Core plasma	Outer plasma	Edge and divertor
Wavelength	0.1 – 10 nm	2 – 200 nm	300-800 nm
Input optics	Direct views	Grazing incidence mirrors	Normal incidence mirrors
Windows	Polymer and Beryllium windows	Not possible Requires vacuum extension	Glass, quartz etc
Dispersion	Crystal or pulse-height	Grating	Grating
Detectors	CCD, Active Pixel Photon counting	Channel-plate, CCD	CCD, CMOS

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Specific Issue in Nuclear Enviroment: Radiation



- Diagnostics need to be optimized regarding neutron flux and fluence impact:
 - Shielding, distance or not sensitive to neutrons

Location	Neutron flux /cm ² .s	Suitable technology	Issues
Plasma - facing	~10 ¹⁴	Metal mirrors Retro-reflectors Waveguides	Deposition and erosion by plasma. Maintenance: - possible if in-port - remote handling if in-vessel
In-vessel Behind blanket	~10 ¹²	Mineral-insulated cable Pick-up coils for magnetics	Radiation induced, EMF, currents, insulation breakdown Maintenance almost impossible
Inside port-plug	~10 ⁸ - 10 ¹²	Mirrors Replaceable detectors	Some maintenance possible
Behind port-plug	< ~10 ⁸	Optical fibres, lenses, CCD detectors, conventional electronics. "Almost anything"	Relatively maintainable R. Barnsley et al.

Change of Design to Reduce Neutron Exposure

JÜLICH Forschungszentrum

X-ray Camera - splitting fan view into several sub-views results in improvement in neutron flux at port flange



Specific Issue in ITER: Diagnostic Port Coordination



Different diagnostics share one port – arrangement and coordination (iterative)



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Expected Range of Plasma Conditions

- **JÜLICH** Forschungszentrum
- Wide range of plasma backgrounds modelled to reflect operational range of ITER
- Impurity emission is modelled using plasma scenarios as expected targets for diagnostics
- Impurity emission modelling is essential input to designs sets requirements for instrument:
 - Sensitivity
 - Spectral range and resolution
 - Field of view and spatial resolution
- Continuously refined and expanded
 - Wide range of plasma scenarios
 - Wide range of impurities
 - Impurity radiated power line and continuum
 - Input to all spectroscopy designs
 - Input to Bolometry design
 - Next step: time resolved simulation of plasma scenarios for synthetic diagnostics, developing and training analysis

ASTRA plasma modelling ADAS atomic data SANCO impurity transport

SOLPS solutions in divertor ERO2.0 PSI at main chamber and divertor

M. O'Mullane et al.

Emission Modelling in VIS, VUV, SXR and in bolometry JÜLICH

- Sensitivity analysis concerning input parameters (transport) / Variety of plasma conditions
- Complete plasma discharge simulation including intrinsic and extrinsic impurities
- Energy-resolved radiated power predictions to high energies, up to HXR region
- Core, edge, divertor and SOL contributions to total radiation



line of sight SXR emission

M. O'Mullane et al.

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Example: VUV spectroscopy in ITER



- VUV spectrometer system to identify and quantify impurities
- Impurity profiles at pedestal region

	Subsystem	VUV Core survey	VUV Edge imaging	VUV Divertor
	PBS	55.E3	55.EH	55.EG
	Function	Impurity species identification	Impurity profile	Divertor impurit influxes (W etc.)
Edge Imaging, Up.18 Divertor, Eg. 11	Wavelength range (nm)	2.4 - 160	17 – 32	15 - 32
Core Survey, Eq. 11	Resolving power (λ/Δλ)	~500	~500	~500
	Gratings	5	1	1
		Slot in Eq 11 PP	Slot in Up18 PP	Slot in Eq 11 PP
		10 x 100 mm^2	Field mirror in PP	Field mirror in PP
	Implement.	Collimating	Collimating mirror	Collimating mirror
		mirrors in PC	in PC	in PC

Example: VUV spectroscopy in ITER



- Core survey system of HEXOS type with 5 channels
- 5-channel Main Plasma Spectrometers with shielding concept for MCNP analysis



Test of Spectrometer in KSTAR





- Spectrometer table on the F-
- 3 m long Vacuum Extension
- Two Gate Valves
- Collimation Mirror Set
 - 1. Cylindrical 10 cm x 5 cm, R.O.C. = 13.5 cm
 - 2. Convex 10 cm x 5 cm, R.O.C. = 700 cm

Example Spectra





 Impurity lines of initial plasmas at KSTAR 2012 Campaign (2012. 09. 06)

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Main Chamber H_{alpha} System





Reflections for Main Halpha **Diagnostic**





Light tools software

CXRS Systems in ITER





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CXRS Core System

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Ph. Mertens et al.

CXRS Core System





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Ph. Mertens et al.

CXRS Spectral Properties and Quantities



Line width: Ion temperature

Line shift: Plasma rotation

> Integral: Ion density



 λ 465 nm band (He, Be) – around 468 nm \rightarrow He II, Be IV

ITER

 $\lambda520$ nm band (C, Ne, Ar, Kr) – around 527 nm \rightarrow C VI, Be II, Ne X and Ar XVII

 λ 656 nm band (Balmer) – H_{α}, D_{α}, T_{α} at 656 nm

- ⇒ High resolution spectrometer
- ⇒ Each lines-of-sight one spectrometer?

CXRS Signal Expectation



Radiances in m⁻² s⁻¹ sr⁻¹ Å⁻¹



M. De Bock et al., Overview of Active Beam Spectroscopy developments for ITER

Bremsstrahlung:
$$B_{\text{LOS}} = \int_{\text{LOS}} dl \int_0^\infty d\lambda \, C \,\overline{g}(\lambda, T_e, Z_{\text{eff}}) \, \frac{n_e^2 Z_{\text{eff}}}{\sqrt{k_{\text{B}} T_e}} \, \frac{\exp\left(-\frac{hc}{\lambda k_{\text{B}} T_e}\right)}{\lambda^2}$$

		XRCS Edge (IN-DA) XRCS Core (US-DA) XRCS Survey (IN-DA)	
Subsystem	Main plasma x-ray survey	X-ray Core imaging	X-ray Edge imaging
Function	Impurity species identification and monitoring	Core ion temperature, rotation, impurity profile	Edge ion temperature, poloidal rotation, impurity profile
Wavelength range (nm)	0.05 - 10	0.2 - 0.4	0.2 – 0.5
Resolving power (λ/Dλ)	Below 2.5 nm ~1000, Above 2.5 nm ~ 100	~8000	~8000
Implementation	Slot in E11 port-plug, Diffracting optic in port cell	Slot(s) in E09 port-plug, Diffracting optics inside port-plug	Slot in U09 port-plug, Diffracting optic behind the port-plug
Wavelength, 10	m	Wavelength (A)	09.05.2019 67

Example: X-Ray Spectroscopy



ITER x-ray Survey Spectrometer





<--- ~ 10 m to slot in blanket

Slot at blanket acts as entrance slit: 10 x 100 mm²

Range of ~6 different bent crystals with Bragg angle range 30° - 60°

Wavelength coverage ~ 0.05 nm - 10 nm

Spectral resolution ~ 1000 below 2.5 nm, ~ 100 above 2.5 nm

Detectors CCD for long wavelength, Pilatus for short wavelength