

Quantum Dynamics Methods for Molecular Excited States: MCTDH and beyond

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The Nuclear Schrödinger Equation

Aim: To solve the Time-dependent Schrödinger Equation for nuclei.

In the **Adiabatic picture** TDSE is:

$$(\hat{T}_n + V_j) |\psi_j\rangle - \sum_i \hat{\Lambda}_{ji} |\psi_i\rangle = i\hbar \frac{\partial}{\partial t} |\psi_j\rangle$$

and the wavefunction moves over an *adiabatic* potential energy surface, V , obtained from quantum chemistry calculations.

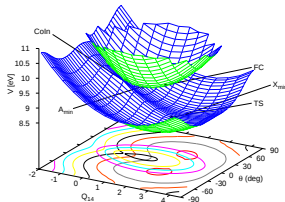
Non-adiabatic couplings $\hat{\Lambda}$ are singular at a conical intersection.

In the **Diabatic picture** TDSE is:

$$[\hat{T}_n \mathbf{1} + \mathbf{W}] \psi = i\hbar \frac{\partial}{\partial t} \psi$$

where $\mathbf{W}$ is a matrix of potential surfaces and couplings and ψ a vector of wavepackets.

Worth and Cederbaum, Ann. Rev. Phys. Chem., (04) **55**: 127



Propagating wavepacket: The Standard Method

Nuclear wavefunction expanded in primitive basis set:

$$\Psi(q_1, \dots, q_f, t) = \sum_{j_1=1}^{N_1} \cdots \sum_{j_f=1}^{N_f} A_{j_1 \dots j_f}(t) \chi_{j_1}^{(1)}(q_1) \cdots \chi_{j_f}^{(f)}(q_f)$$

Use Dirac-Frenkel Variational Principle:

$$\left\langle \delta\Psi \left| H - i \frac{\partial}{\partial t} \right| \Psi \right\rangle = 0$$

to obtain equations of motion for A :

$$i\dot{A}_{j_1 \dots j_f} = \sum_L \langle \chi_{j_1}^{(1)}(q_1) \cdots \chi_{j_f}^{(f)}(q_f) | H | \chi_{l_1}^{(1)}(q_1) \cdots \chi_{l_f}^{(f)}(q_f) \rangle A_{l_1 \dots l_f}$$

Kulander "Time-dependent methods for quantum dynamics", Elsevier, 1991

Kosloff, Ann. Rev. Phys. Chem. (94) **45**: 145

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$$i\dot{\mathbf{A}} = \mathbf{H}\mathbf{A}$$

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The Hamiltonian matrix elements

Need to evaluate matrix elements (integrals)

$$\begin{aligned} H_{JL} &= \sum_{\ell_1, \dots, \ell_f} \langle \chi_{j_1}^{(1)} \cdots \chi_{j_f}^{(f)} | H | \chi_{\ell_1}^{(1)} \cdots \chi_{\ell_f}^{(f)} \rangle \\ &= \sum_{\ell_1, \dots, \ell_f} \langle \chi_{j_1}^{(1)} \cdots \chi_{j_f}^{(f)} | T + V | \chi_{\ell_1}^{(1)} \cdots \chi_{\ell_f}^{(f)} \rangle \end{aligned}$$

As written an $N^f \times N^f$ matrix of multi-dimensional integrals!

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As written an $N^f \times N^f$ matrix of multi-dimensional integrals!

Use a *Discrete Variable Representation* (DVR) or *collocation* (FFT) to reduce effort

- KEO low dimensional integrals using analytic basis / FFT
- PEO diagonal on spatial grid - N^f points
- DVR equates to Gaussian quadrature of integrals

The Multiconfigurational Time-Dependent Hartree (MCTDH) Method

$$\Psi(q_1, \dots, q_f, t) = \sum_{j_1=1}^{n_1} \dots \sum_{j_f=1}^{n_f} A_{j_1 \dots j_f}(t) \prod_{\kappa=1}^f \varphi_{j_\kappa}^{(\kappa)}(q_\kappa, t)$$

Variational equations of motion for A and φ .

$$i\dot{A}_J = \sum_L \langle \Phi_J | H | \Phi_L \rangle A_L$$

$$i\dot{\varphi}^{(\kappa)} = \left(1 - P^{(\kappa)}\right) \left(\rho^{(\kappa)}\right)^{-1} \langle \mathbf{H} \rangle^{(\kappa)} \varphi^{(\kappa)}$$

SPFs expanded in *primitive grid*

- non-linear equations of motion
- Computer memory $n^f + fnN$

$$\varphi_j = \sum_{i=1}^N a_{ij} \chi_i$$

Beck *et al* Phys. Rep. (00) 324:1

Meyer, Gatti and Worth "Multidimensional quantum dynamics", Wiley-VCH, 2009

time-dependent matrix elements

$$H_{JL} = \langle \Phi_J | H | \Phi_L \rangle = \langle \phi_{j_1}^{(1)} \dots \phi_{j_f}^{(f)} | H | \phi_{l_1}^{(1)} \dots \phi_{l_f}^{(f)} \rangle$$

- for efficiency need product potential $V = \sum_s c_s h_s^{(1)} h_s^{(2)} \dots$

$$\langle \Phi_I | H | \Phi_J \rangle = \sum_s c_s \langle \varphi_{i_1}^{(1)} | h^{(1)} | \varphi_{j_1}^{(1)} \rangle \langle \varphi_{i_2}^{(2)} | h^{(2)} | \varphi_{j_2}^{(2)} \rangle \dots$$

time-dependent matrix elements

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Using *single-hole functions*,

$$\psi_{K_f}^{(f)} = \sum_{j_1=1}^{n_1} \dots \sum_{j_{f-1}=1}^{n_{f-1}} A_{j_1 \dots j_{f-1} K_f}(t) \prod_{\kappa=1}^{f-1} \varphi_{j_\kappa}^{(\kappa)}(q_\kappa, t)$$

mean-field operators

and density matrices

$$\langle H \rangle_{ij}^{(\kappa)} = \langle \psi_{i_\kappa}^{(\kappa)} | H | \psi_{j_\kappa}^{(\kappa)} \rangle \quad \rho_{ij}^{(\kappa)} = \langle \psi_{i_\kappa}^{(\kappa)} | \psi_{j_\kappa}^{(\kappa)} \rangle = \sum_J A_{J_i^\kappa}^* A_{J_j^\kappa}$$

Routes to Higher Dimensionality.

First bottleneck to larger systems is no. of configurations n^f

Strategies:

- I Combined Mode Particles
- II Selected-CI
- III Cascading / Multi-layer
- IV Parametrized Spfs

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I. Combined Mode Particles

Re-write MCTDH ansatz

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A “particle” may contain more than one coordinate,

$$Q_i = (q_a, q_b, \dots, q_w)$$

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e.g.

$$\begin{aligned} \Psi(q_1, q_2, q_3, t) &= \sum_{j_1} \sum_{j_2} A_{j_1 j_2}(t) \varphi_{j_1}^{(1)}(q_1, t) \varphi_{j_2}^{(2)}(q_2, q_3, t) \\ &= \sum_{j_1} \sum_{j_2} A_{j_1 j_2}(t) \varphi_{j_1}^{(1)}(Q_1, t) \varphi_{j_2}^{(2)}(Q_2, t) \end{aligned}$$

Saving in memory

All 1D functions

$$Mem \sim n^f + fnN$$

Now combine d modes in each particle.

$p = \frac{f}{d}$ particles with grid lengths of N^d

If $\tilde{n} \leq n^d$ save memory.

$$Mem \sim \tilde{n}^p + p\tilde{n}N^d$$

If $d = f$, then full-grid used and $\tilde{n} = 1$

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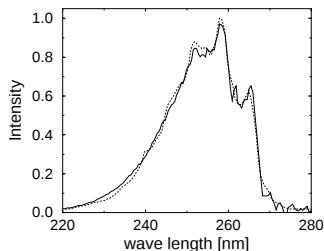
$$Mem \sim \tilde{n}^p + p\tilde{n}N^d$$

If $d = f$, then full-grid used and $\tilde{n} = 1$

$$Mem \sim N^f$$

Result: MCTDH can treat ca 30 modes

Bench Mark: Pyrazine Spectrum



- full 24D QD
- 650 MB
(205 MB good result)
- ca 2×10^{22} MB for
“standard”

Raab *et al* JCP (99) 110: 936

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Multi-Layer MCTDH (ML-MCTDH)

Expand a multi-mode SPF in an MCTDH expansion to create layers:

$$\Psi(q_1, \dots, q_f, t) = \sum_{j_1=1}^{n_1} \dots \sum_{j_p=1}^{n_p} A_{j_1 \dots j_p}(t) \prod_{\kappa=1}^p \varphi_{j_\kappa}^{(\kappa)}(Q_\kappa, t) \quad \text{Layer 1}$$

$$\varphi_{j_\kappa}^{(\kappa)}(Q_\kappa, t) = \sum_{k_1=1}^{n_1} \dots \sum_{k_Q=1}^{n_Q} B_{k_1 \dots k_Q}^{\kappa, j_\kappa}(t) \prod_{\nu=1}^Q \nu_{k_\nu}^{(\nu)}(R_\nu, t) \quad \text{Layer 2}$$

$$\nu_{k_\nu}^{(\nu)}(R_\nu, t) = \sum_{l_1=1}^{n_1} \dots \sum_{l_R=1}^{n_R} C_{l_1 \dots l_R}^{\nu, k_\nu}(t) \prod_{\xi=1}^R \xi_{l_\xi}^{(\xi)}(S_\xi, t) \quad \text{Layer 3}$$

$$\dots = \dots$$

Each layer acts as a set of SPFs for the layer above and a set of coefficients for the layer below.

Leads to a recursive sets of variational equations of motion:

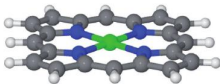
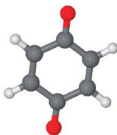
Wang and Thoss JCP (2003) **119**; 1289

$$i\dot{A}_J = \sum_L \langle \Phi_J | H | \Phi_L \rangle A_L$$

$$i\dot{\varphi}^{(\kappa)} = \left(1 - P^{(\kappa)}\right) \left(\rho^{(\kappa)}\right)^{-1} \langle \mathbf{H} \rangle^{(\kappa)} \varphi^{(\kappa)}$$

$$i\dot{\nu}^{(\nu)} = \left(1 - P^{(\nu)}\right) \left(\rho^{(\nu)}\right)^{-1} \langle \mathbf{H} \rangle^{(\nu)} \nu^{(\nu)}$$

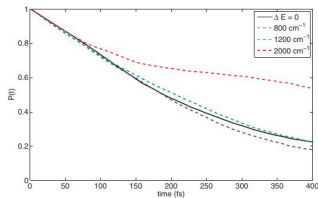
$$\dots = \dots$$



Borelli *et al* Mol. Phys. (2012) 110: 751

135 Mode Quantum Dynamics

Photo-induced ET. Spin-Boson Model.



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A Simple Hamiltonian: The Vibronic Coupling Model

Assume diabatic basis: $\Psi(\mathbf{Q}, \mathbf{r}) = \sum_{\alpha} \phi_{\alpha}(\mathbf{Q}) \psi_{\alpha}(\mathbf{r}; \mathbf{Q})$

$$\mathbf{H}(\mathbf{Q}) = \mathbf{T}(\mathbf{Q}) + \mathbf{W}(\mathbf{Q})$$

$$\hat{T}_{\alpha} + V_{\alpha}^0 = \frac{\omega_i}{2} \left(\frac{\partial^2}{\partial Q^2} + Q^2 \right)$$

$$W_{\alpha\beta} = \langle \psi_{\alpha} | H_{el} | \psi_{\beta} \rangle$$

$$W_{\alpha\beta} \approx V_{\alpha}^0 \delta_{\alpha\beta} + \varepsilon_{\alpha} + \sum_i \frac{\partial}{\partial Q_i} \langle \psi_{\alpha} | H_{el} | \psi_{\beta} \rangle Q_i + \dots$$

Köppel *et al* Adv. Chem. Phys. (1984) **57**: 59

Worth *et al*, Int. Rev. Phys. Chem. (08) **27**: 569

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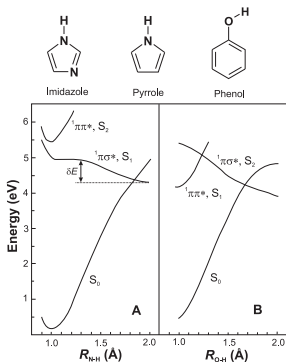
$$W_{\alpha\beta} \approx V_{\alpha}^0 \delta_{\alpha\beta} + \varepsilon_{\alpha} + \sum_i \underbrace{\frac{\partial}{\partial Q_i} \langle \psi_{\alpha} | H_{el} | \psi_{\beta} \rangle}_{\kappa_i, \lambda_i} Q_i + \dots$$

$$\kappa_i, \lambda_i \neq 0 \quad \text{if} \quad \Gamma_{\alpha} \times \Gamma_i \times \Gamma_{\beta} \supseteq A_1$$

Köppel *et al* Adv. Chem. Phys. (1984) **57**: 59

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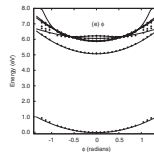
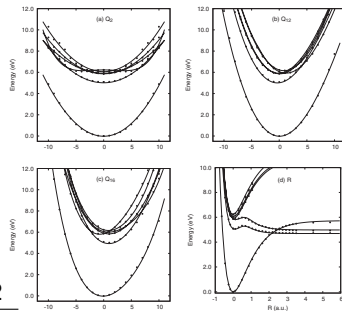
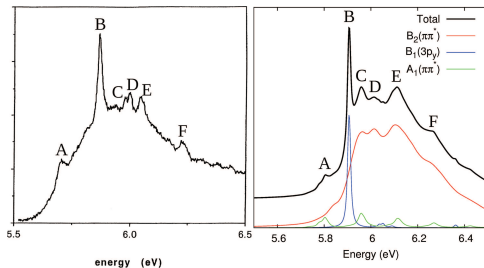
Heteroaromatic Photodissociation



Ashfold *et al* Science (06) **312**: 1637

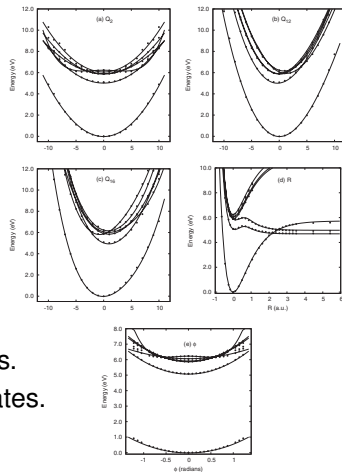
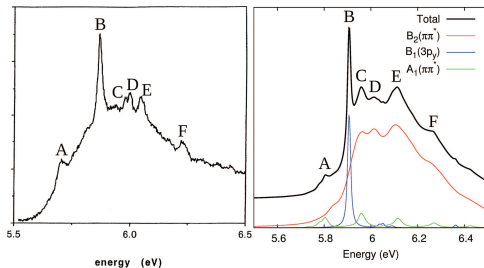
Excitation to $\pi\pi^*$ states
Dissociation after crossing to $\pi\sigma^*$ states

Electronic Absorption Spectrum of Pyrrole



Symmetry	Character	CASSCF	CASPT2
A_1		0.00	0.00
A_2	$3s/\pi\sigma^*$	4.17	5.06
B_1	$3s/\pi\sigma^*$	4.87	5.86
A_2	$3p_z$	4.91	5.87
A_1	$\pi\pi^*$	6.47	6.01
B_2	$\pi\pi^*$	7.83	6.24
B_1	$3p_z$	5.67	6.69

Electronic Absorption Spectrum of Pyrrole

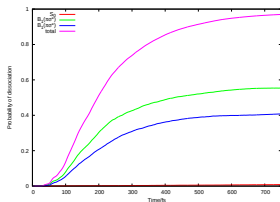
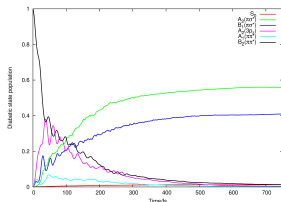


- 6-state, 9-mode model.
- Strong vibronic coupling between states.
- Contribution from excitation to three states.
- Intensity borrowing allows excitation to lower lying Rydberg states.

Pyrrole: 6-State 9(10)-Mode Model

Ignoring ν_2

State populations

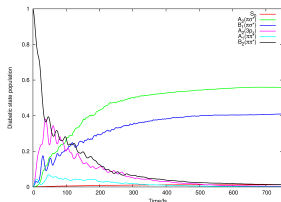


Flux

Pyrrole: 6-State 9(10)-Mode Model

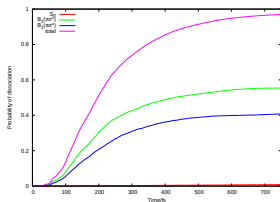
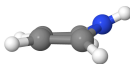
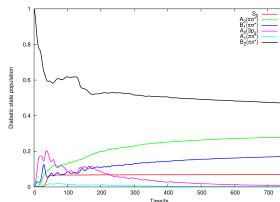
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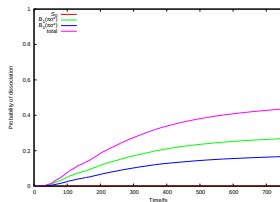


Including ν_2

State populations



Flux



Flux

“Trapped”
“Geometry”

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IV. Parametrized Spfs (G-MCTDH)

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Replace single-particle functions with Gaussian functions

$$g_j(\mathbf{Q}, t) = \exp(\mathbf{Q}^T \boldsymbol{\zeta}_j \mathbf{Q} + \mathbf{Q}^T \boldsymbol{\xi}_j + \eta_j)$$

Propagate parameters $\lambda = \{\boldsymbol{\zeta}, \boldsymbol{\xi}, \eta\}$

$$\begin{aligned} i\dot{A}_j &= \sum_{lk} S_{jk}^{-1} \langle \Phi_k | H | \Phi_l \rangle A_l - \sum_{\kappa=1}^p \sum_{l=1}^{n_\kappa} i S_{jk}^{-1} \langle g_k | \frac{\partial}{\partial t} g_l \rangle A_{J_l^\kappa} \\ &= \sum_{lk} S_{jk}^{-1} H_{kl} A_l - \sum_{\kappa=1}^p \sum_{l=1}^{n_\kappa} i S_{jk}^{-1} \tau_{kl} A_{J_l^\kappa} \\ i\dot{\boldsymbol{\Lambda}} &= \mathbf{C}^{-1} \mathbf{Y} \end{aligned}$$

Burghardt *et al* JCP (99) 99:2927

Burghardt *et al* JCP (08) 129:174104

ADVANTAGES

- Need more GFs than SPFs,
- **BUT** set of parameters smaller than no. of grid points
- spatially unrestricted

ADVANTAGES

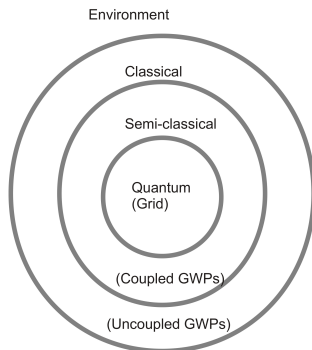
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DISADVANTAGES

- Non-orthogonal basis set - numerically difficult
- Efficiency requires approximate integral evaluation
LHA $V = V(x_0) + V'(x - x_0) + V''(x - x_0)^2$
 - convergence on exact result depends on accuracy of integrals

General Scheme

G-MCTDH gives general framework for Quantum — semi-classical — classical dynamics. Can also treat open systems using density matrix formalism.



Grid-based QD $\longrightarrow$ Gaussian Wavepackets

In limit of only GWP basis functions G-MCTDH becomes the Variational Multi-configurational GWP Method: **vMCG**

$$\Psi(\mathbf{x}, t) = \sum_J A_J g_J(\mathbf{x}, t)$$

GWPs long-tradition in time-dependent QD.

- Conceptually simple
- Can be related to semi-classical dynamics
- possible to use for *direct dynamics*

BUT

- numerically unstable
- convergence properties not clear - no “natural population”
- limited to rectilinear coordinates

vMCG Equations of Motion

$$\Psi(Q_1, \dots, Q_f, t) = \sum_{j=1}^{n_1} A_j(t) g_j$$

Replace single-particle functions with Gaussian functions

$$g_j(\mathbf{Q}, t) = \exp(\mathbf{Q}^T \zeta_j \mathbf{Q} + \mathbf{Q}^T \xi_j + \eta_j)$$

Propagate parameters. For expansion coefficients $\lambda = \{\zeta, \xi, \eta\}$

$$i\dot{A}_j = \sum_{lk} S_{jk}^{-1} [H_{kl} - i\tau_{kl}] A_l$$

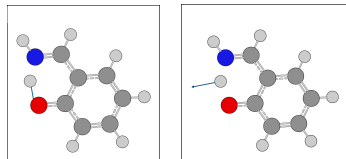
and for the linear parameters, $\xi_{l\beta} = -2\zeta_{l\beta\beta} q_{l\beta} - p_{l\beta}$ can be written

$$\begin{aligned} \dot{q}_{l\beta} &= \frac{p_{l\beta}}{m_\beta} + \frac{1}{2\zeta_{l\beta}} \text{Im} \sum_{m\alpha} C_{l\beta m\alpha}^{-1} \tilde{Y}_{m\alpha} \\ \dot{p}_{l\beta} &= -V'_{l\beta} + \text{Re} \sum_{m\alpha} C_{l\beta m\alpha}^{-1} \tilde{Y}_{m\alpha} \end{aligned}$$

Salicylaldimine Test Case: 2D Proton transfer

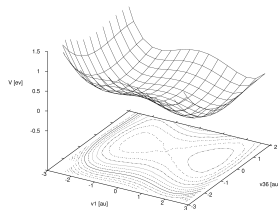
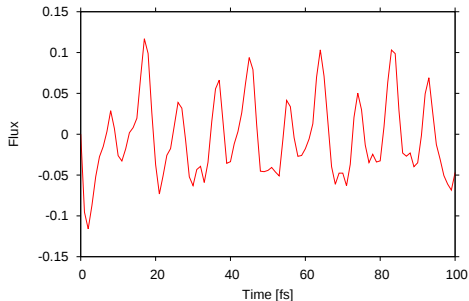
Hamiltonian in normal modes fitted to RHF/3-21G*

$$\begin{aligned}
 H = & \sum_{\kappa=1,18} \frac{\omega_{\kappa}}{2} \left(\frac{\partial^2}{\partial q_{\kappa}^2} + q_{\kappa}^2 \right) + \sum_{n=1}^4 A_n q_1^n \\
 & + B_{11} q_1 q_{18} + B_{22} q_1^2 q_{18}^2 \\
 & + B_{31} q_1^3 q_{18} + B_{13} q_1 q_{18}^3
 \end{aligned}$$



ν_{18}

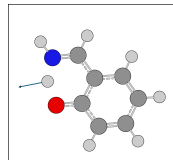
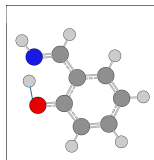
2D Salicylaldehyde Proton Transfer Flux: Full QD



Salicylaldimine Test Case: 2D Proton transfer

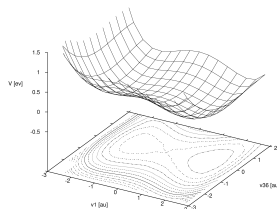
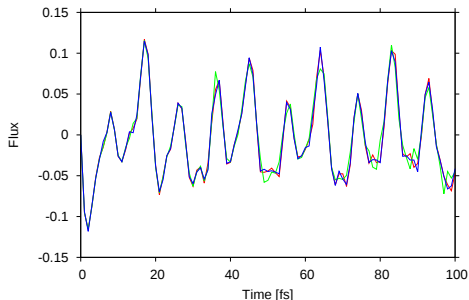
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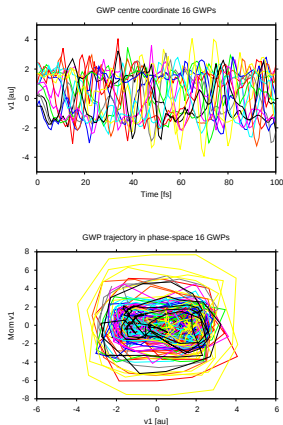
2D Salicylaldehyde Proton Transfer Flux: Full QD v GWPs



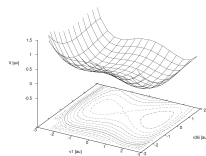
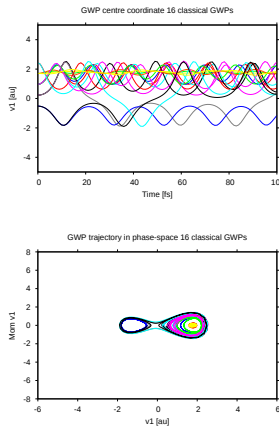
16 / 32 GWPs

Trajectories with 16 GWPs

vMCG



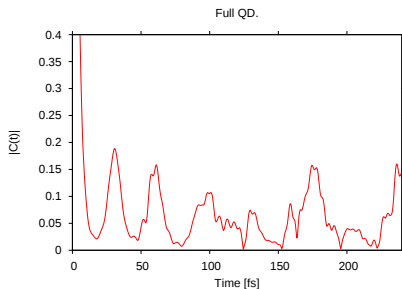
Classical



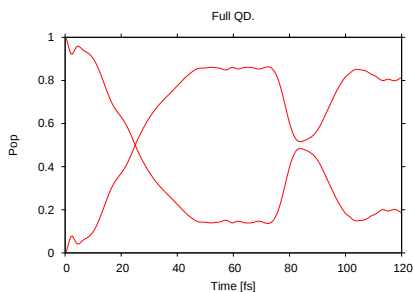
Richings *et al* Int. Rev. Phys. Chem. (15) **34**: 269

4D model: Linear Coupling

Autocorrelation function:



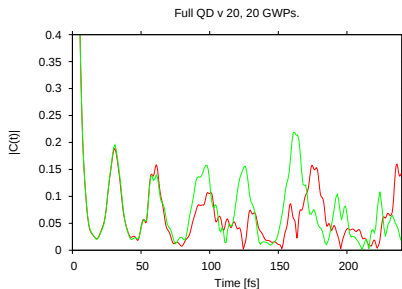
State Populations:



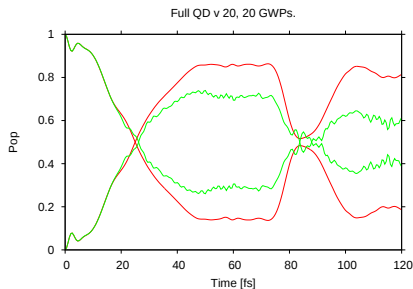
QD basis size: 4060 SPFs, 355,000 primitives

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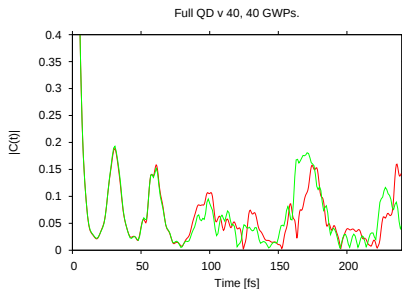
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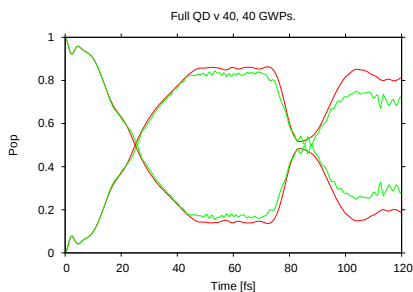
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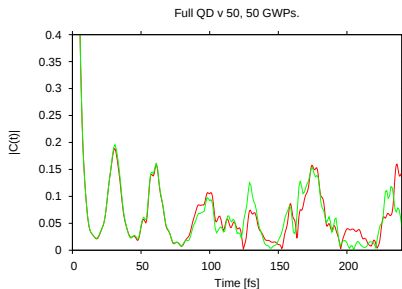
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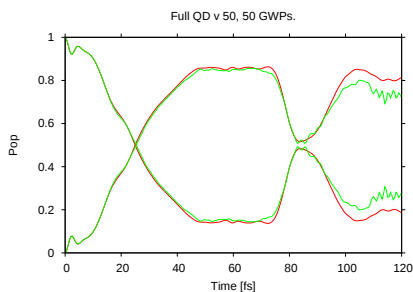
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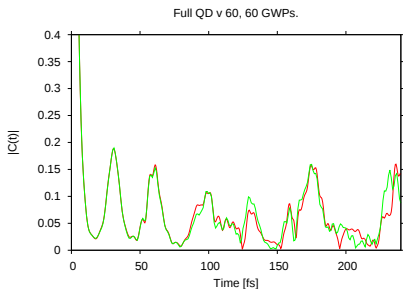
State Populations:



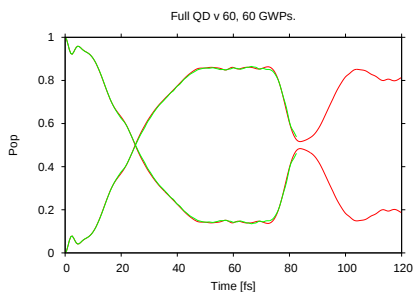
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Routes to Higher Dimensionality.

First bottleneck to larger systems is no. of configurations n^f

Strategies:

- I Combined Mode Particles
- II Selected-CI
- III Cascading / Multi-layer
- IV Parametrized Spfs

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Second bottleneck is calculating potential

Strategies:

- A Parametrized Hamiltonian
 - Reaction Path Model
 - High-Dimensional Cluster Model
 - Vibronic Coupling Model
- B GROW
- C Direct Dynamics

Direct Dynamics

- For integrals $\langle g_j | H | g_k \rangle$, Quantum chemistry to second order.
- Gradients and Hessians directly from quantum chemistry.
- Store results in a database (energy, gradient, Hessian)

Ideally use adiabatic PES in direct dynamics as they are readily available from quantum chemistry packages.

- States interact *via* the non-adiabatic coupling terms (NACT)

$$\mathbf{F}_{ab} = \frac{\langle \psi_a | \nabla \hat{H}_{\text{el}} | \psi_b \rangle}{V_b - V_a}$$

- NACTs go to infinity at a conical intersection and adiabatic PES become non-differentiable at such points.

Problem for LHA. Avoid these problems by transforming to the diabatic picture.

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Problem for LHA. Avoid these problems by transforming to the diabatic picture. **How can we define diabatic states on-the-fly?**

Diabatisation by Propagation

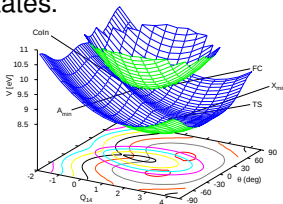
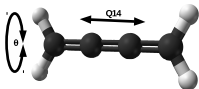
Adiabatic - Diabatic transformation, $\mathbf{S}$, defined by

$$\nabla \mathbf{S} = -\mathbf{F} \mathbf{S}$$

where $\mathbf{F}$ is NACT. Exact for complete set of states.

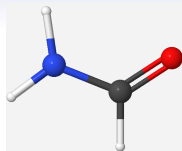
- Choose $\mathbf{S} = \mathbf{1}$ at the initial point of the propagation.
- Solve for $\mathbf{S}$ by propagating from the nearest point.
- Applicable to any number of states.

First test: Butatriene ionisation



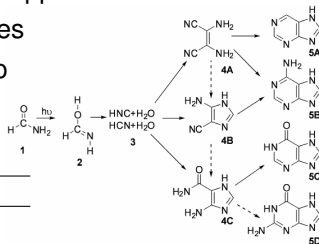
- Dynamics run on first-excited ion states.
 - DD-vMCG using 25 GWPs with propagated diabatisation.
 - Powell updated Hessian

Formamide



- Smallest stable molecule consisting of HCNO
- Prebiotic Earth
- Found, by spectral molecular survey, on Hale-Bopp
- Tentatively found in IR-spectra of interstellar ices
- Decomposition pathways studied but as yet, no excited state studies

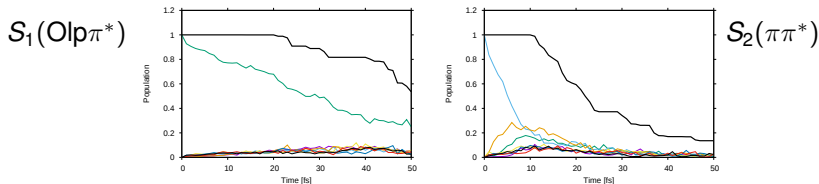
SA-CAS(10,8)/6-31G*



State	VEE/eV	T Dipole/au	Character
S ₁	5.607	0.000	Olp- π^*
S ₂	8.015	0.000	π -NH ₂ [*]
S ₃	8.159	0.022	Olp-NH ₂ [*] + $\pi\pi^*$
S ₄	9.118	0.000	π -NH ₂ [*]
S ₅	10.033	0.071	Olp-NH ₂ [*]
S ₆	10.574	0.726	$\pi\pi^*$ + Olp-NH ₂ [*]
S ₇	11.450	0.001	π -NH ₂ [*] + $\pi\pi^*$

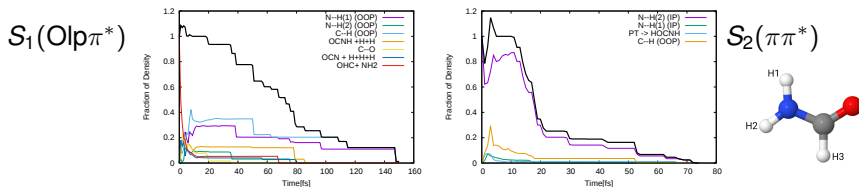
Formamide: Direct Dynamics 48GWP

Diabatic Populations



Product Analysis: Weight GWP trajectories ending in a particular channel by Gross Gaussian Population

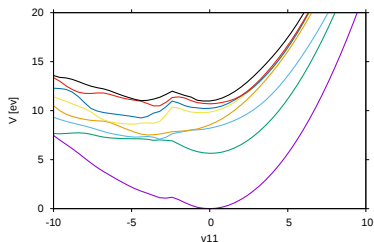
$$\text{GGP}_i = \sum_j \text{Re}(A_i^* S_{ij} A_j)$$



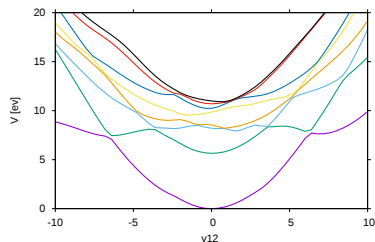
Spinlove *et al.* (18) In Preparation

Formamide Potential Surfaces

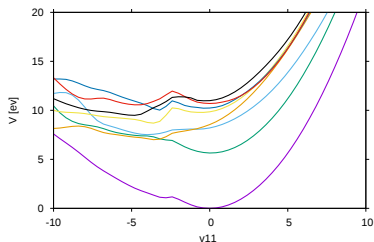
Along NH_2 Symm Stretch
Adiabatic



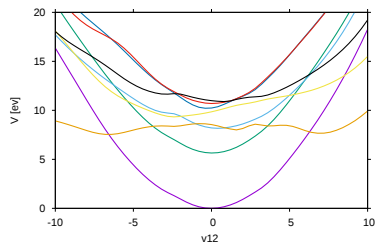
Along NH_2 Anti-symm Stretch
Adiabatic



Diabatic



Diabatic



Conclusions

Variational time-dependent basis sets are a powerful way of obtaining the full solution to the TDSE.

- MCTDH provides a complete framework for quantum dynamics.
 - ML-MCTDH grid-based for truly large systems - simple PES
 - G-MCTDH flexible route to approximate dynamics - any PES but restricted coordinates
- G-MCTDH $\longrightarrow$ vMCG $\longrightarrow$ GWP methods
 - still complete solution possible, allows evaluation of accuracy
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Direct Dynamics present state-of-the art: DD-vMCG

- Global PES produced. Can be used for later refinement
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Present bottleneck: Electronic Structure theory!

Acknowledgments

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 Angelo Giussani
 Eryn Spinlove
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 Ceridwen Ash



Gareth Richings
 Iakov Polyak
 Simon Neville
 Tom Penfold

QUANTICS Documentation

