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### 1. Background and research plan

### 2. Research results

- First-principles calculations of hydrogen solution and diffusion in tungsten: Temperature and defect-trapping effects.
- First-principles calculations of transition-metal solute interactions with point defect and hydrogen in tungsten.

### **3. Ongoing work**

• OKMC modelling on the hydrogen retention and desorption in tungsten







IAEA Meeting Vienna 26.-28.11.2013 © Matej Mayer





Thermal desorption spectra



- Pre-irradiation enhances the intensity of the low temperature peak and introduces a high temperature peak at about 850 K.
  - The low temperature peak, caused by deuterium trapped in intrinsic defects, is usually associated with dislocations, grain boundaries, and single vacancies.
    - The high temperature peak is usually associated with deuterium trapped in vacancy clusters.

M.H.J.'t Hoen et al Nucl. Fusion 52 (2012) 023008



#### 1. Backgrounds: deuterium retained in damaged W



The integrated amount of retained deuterium as a function of pre-irradiation fluence. Targets were exposed at different temperatures

M.H.J. 't Hoen et al Nucl. Fusion 53(2013) 043003



#### B. Tyburska-Püuschel et al. Nucl. Fusion 53 (2013) 123021



- The concentration of deuterium retained in the tungsten-ion-induced damage zone decreases with increasing exposure temperature .
- Adding Re into W leads to a decrease of the D concentration at exposure temperatures above 450K.
- It drops significantly faster in W-Re than in pure W, given sufficiently high temperatures.

#### Note that:

Similar changes in retention behavior were not observed in undamaged samples.

### 1. Backgrounds: deuterium retained in damaged W-Ta





In the low fluxes conditions, the deuterium retention in W-Ta was significantly higher than in W

In the high fluxes conditions, deuterium retention was systematically lower in W-Ta than in W.











The energetic and dynamic behavior of defects

Statistical analysis of thermodynamics

The synergetic effects of the defect, hydrogen and alloy elements The formation energy, migration barrier, jumping frequency of the defects, the interactions among hydrogen and alloy elements and defects: their binding energy and interaction radius, dissolution/ detrapping energy.





The energetic and dynamic behavior of defects

Statistical analysis of thermodynamics

The synergestic effects of the defect, hydrogen and alloy elements These energetic and dynamic parameters are used as input to the thermodynamic model to evaluate the influence of irradiation defects and alloying elements on the hydrogen diffusion and release.





The energetic and dynamic behavior of defects

Statistical analysis of thermodynamics

The synergetic effects of the defect, alloy elements and hydrogen

Based on the above results, we build the defects and their interaction model, and then investigate the long-term (in hour) synergestic effects of irradiation defects, alloying elements and hydrogen in large system (in micron) by object kinetic Monte Carlo.





- First-principles calculations of hydrogen solution and diffusion in tungsten: Temperature and defect-trapping effects. (Acta Materialia 84 (2015) 426–435)
- First-principles calculations of transition-metal solute interactions with point defect and hydrogen in tungsten. (Acta Materialia 66 (2014) 172–183, Nuclear

Fusion (under review)

## Hydrogen solution and diffusion in tungsten: Temperature and defect-trapping effects.

In order to obtain the reliable solubility and diffusivity of hydrogen in tungsten.

#### **Experimental data for hydrogen Solubility and diffusivity**

Solubility and diffusivity of hydrogen in tungsten are scarce and largely scattered



Skinner et al. Fusion Science and Technology 54 (2008)891

- The absolute values of the solubility among these reported data are within the same order of magnitude.
- But their pre-exponential factors and activation energies are completely different. For example, the activation energies are 1.04, 0.03 and 0.19 eV.

Frauenfelder (1100~2400 K)

 $S = 9.3 \times 10^{-3} exp(-1.04 \text{ eV/kT})$ 

- Frauenfelder's data agrees well with Mazayev's measured data covering the temperature range 1900–2400 K.
- ➤ The extrapolation of Frauenfelder's data to lower temperatures is much lower than Benamati's data at 850 to 885 K.

#### **Experimental data for hydrogen Solubility and diffusivity**

**Figure 4.** Comparison of temperature dependence of H DCs in W determined by various authors; GDP and PDP ( $\bullet$ ,  $\blacklozenge$ ,  $\diamondsuit$ ) by Ikeda [55, 56], depth profiling 1 ( $\blacksquare$ ) and 2 ( $\blacksquare$ ) by Otsuka [56, 57] and Matsuyama [24], GDP by Frauenfelder [14], Zakharov [16] and Esteban [22], IDP by Gasparyan [23] and Nakamura [18], Model calculation by Franzen [20]. GDP, PDP and IDP stand for gas, plasma and ion driven permeation, respectively.



Among these experimental data, the data at high temperatures (>1500K), reported by Frauenfelder, has been usually believed to be the most reliable data, because it was obtained at elevated temperatures and is likely less influenced by both surface and trapping effects.

As an envelope of highest data so far reported, Ikeda and Otsuka proposed D=3.8\*10<sup>7</sup>exp(0.41 eV/kT) m<sup>2</sup>/s (the green line in the figure) as the most reliable DC, which is valuable for a wide temperature range of 250– 2500 K.

T Tanabe et al. Phys. Scr. T159 (2014) 014044

As summarized above, although various parameters for hydrogen solubility and diffusivity in tungsten have been recommended, some experimental values have been reported and moreover these parameters show large discrepancies. This is particularly true for the values of solution and activation energies.

These discrepancies may arise from the use of different techniques to obtain the data. In addition, the extremely low solubility of hydrogen in tungsten and the significant surface and trapping effects make the experimental measurements difficult and complex, particularly at low temperatures.

At present, it is very hard to give a reliable value for the solubility and diffusivity in tungsten, and data for this is urgently required. First-principles computational methods are now possible to calculate the solubility and diffusivity of foreign interstitial atom in metals at a level of accuracy close to and sometimes better than available from experiments.



#### First-principles computational data of H diffusivity in tungsten



FIG. 4. Calculated hydrogen diffusion coefficient D compared to the experimental values. The trapping effect gets pronounced at temperatures below 1100 K, where the experimental D values fall under the extrapolated Arrhenius fit of the Frauenfelder data (Ref. 10) (dotted line with wide spacing). Omitting the two lowest experimental points in Frauenfelder data, decreases the migration barrier from 0.39 to 0.25 eV.

Unfortunately, the calculated diffusivities show large differences from the experimental values, particularly at low temperatures, suggesting that some important factors might have been missed in these two first-principles calculations

The temperature effect may be one such omission, as this has been demonstrated to be an important actor for an accurate interpretation of experimental solution and diffusion data using first-principles computational methods.

The defect-trapping effect may also contributes to the large difference of the reported hydrogen solubility and diffusivity data, which has been found to significantly affect the hydrogen solution and diffusion behaviors in metals, particularly at low temperatures. We carry out a systematic first-principles calculation to investigate the dissolution and diffusion properties of interstitial hydrogen in tungsten.







Here, P and  $P_0$  are the background pressure and the reference pressure (we choose standard pressure in order to make a comparison with the experiment), respectively, k is the Boltzmann constant and T is the temperature.  $\Delta S$  is the entropy of solution, equal to about -4.7k [43], which is almost negligible.



Diffusivity



#### **Activation energy**

$$E_{act} = E^{TS} - E^{IS}$$

Jump rate (WZ)

$$\Gamma = n \sqrt{\frac{E_{act}}{2m\lambda^2}} exp(\frac{-E_{act}}{kT})$$

**Jump rate (hTST)** 



n: the number of the nearest-neighbor interstitial positions,

*m* : the mass of a hydrogen atom.

 $E_{act}$ : the activation energy, defined as the difference in the minimum energy of the transition state ( $E^{TS}$ ) and of the initial state ( $E^{IS}$ )





#### **Temperature effects**

 $a_T = a_0 \frac{a_T^{Lu}}{a_0^{Lu}}$ 

Xiao-Gang Lu, Comput. Coupling Phase Diagrams Thermochem. 2005;29:68

# Thermal expansion

$$V_T = V_0 exp(\int_{T_0}^T 3\alpha dT)$$
$$\alpha = a + bT + cT^2 + dT^{-2}$$

The lattice constants of Lu  $(a_T L^u)$  cannot be directly used to interpret our density functional theory (DFT) calculations because of the small difference between the DFT optimized lattice constant at 0 K  $(a_0)$  and the experimental observation.

Element	Stable structure	$V_0, 10^{-6} \text{ m}^3/\text{mol}$	Parameters			
			$a, 10^{-5} \mathrm{K}^{-1}$	$b, 10^{-8} \mathrm{K}^{-2}$	c, 10 <sup>-11</sup> K <sup>-3</sup>	<i>d</i> , K
W	bee	9.50328	1.36240	-	0.14796	-0.055762
Free ener	e F <sup>A</sup> By	$F^{A}(T) = E^{A}_{c}(a_{T}) + F^{A}_{\nu}(a_{T})$				
		$F_{\nu}^{A}(a_{T}) = \Sigma_{i}h\nu_{i}^{A}(a_{T})/2$				
			ν	$+k_BTln$	$(1 - exp(-h\nu$	$(a_T^A(a_T)/kT))$

The temperature effect is taken into account by the thermal expansion and vibration free-energy contribution.





#### **Trapping effects**

Effective  
diffusivity 
$$D_{eff} = \frac{D_{perf}}{1 + c_{trap}exp(\frac{E_{trap}}{kT})}$$

 $D_{perf}$  is the diffusivity at the perfect system without traps

### $C_{trap}$ is the trap concentration

 $E_{trap}$  is the trapping energy of hydrogen in the trap site

The defect-trapping effect is described through the classic Mac-Nabb and Foster formula (Oriani, Acta Metall. 18, 147(1970)).

**SSP** Results: The solution and diffusion properties of H at 0 K



Table 1. The relevant lattice constant  $(a_T)$  and their corresponding lattice expansion ratios  $(\Delta a_T/a_0)$ .

Temperature (K)	$a_T$ (Å)	$\Delta a_T/a_0$ (%)
0	3.177	0.000
300	3.180	0.096
600	3.184	0.235
900	3.189	0.378
1200	3.193	0.526
1 500	3.198	0.684
1800	3.204	0.855
2100	3.210	1.043
2400	3.216	1.248
2700	3.223	1.474

# **ASISSP** Results: The solution and diffusion properties of H at 0 K



Table 2. Comparison of properties of hydrogen solution and diffusion in tungsten at 0 K as obtained by DFT calculations:  $E_{Tet}^{Sol}$  is the solution energy of hydrogen at the ground state, i.e. tetrahedral interstitial site,  $\Delta E_{Oct-Tet}^{Sol}$  is the energy difference between the octahedral and tetrahedral solution sites,  $E_{act}$  is the activation energy of hydrogen jumping between two adjacent tetrahedral interstitial sites,  $v_{GS}$  and  $v_{TS}$  are the vibration frequencies at the ground state and at the transition state, respectively.



 Hydrogen atom prefers to occupy the tetrahedral (Tet) interstitial site rather than the octahedral (Oct) interstitial site.
 Our calculated results are in good agreement with the former theoretical data.







The temperature dependent solution energy.

- The interstitial hydrogen atom always **prefers to occupy the Tet site** rather than the Oct site over the temperature range.
- The solution energy in both Tet and Oct geometry decreases with temperature, suggesting the dissolution of hydrogen
   becomes easier with the temperature increase.
- It decreases faster in the Tet geometry than in the Oct geometry, meaning the stabilization is much more significant for the Tet site than the Oct site when the temperature increase.

CAS-ISSP





- The static energy contribution shows a linear-like negative temperature dependence, and the value decreases about 0.20 eV from 300 to 2700 K.
- The vibration free-energy contribution
   decreases with the temperature
   exponentially.

The temperature dependent solution energy.







The activation energy of Path 1 is always less than that of Path 2, and the difference between them becomes larger as the temperature increase. Hence, it can be deduced that the migration path of interstitial hydrogen mainly advances via the nearest neighboring Tet sites in tungsten.

CAS-ISSI

**Results:** The temperature-dependent activation energy





The static energy contribution are always about 0.2 and 0.4 eV for Path 1 and 2, almost independent of the temperature.
 The vibration free-energy contribution

plays a dominant role in the behavior of the activation energy with temperature.

The temperature dependent activation energy.







- Our predicted hydrogen solubility and diffusivity are in very good agreement with the experimental data above ~1500 K, but smaller and higher than their corresponding experimental values below 1500K, respectively.
- The significant deviation below 1500 K can be explained by the trapping phenomenon.





Two type typical defect-trapping effect: Natural traps (impurity, dislocation, GB): 0.5 eV; concentration:10<sup>-2</sup> and lower. Vacancies: 1.3 eV, conentration: 10<sup>-6</sup> and lower.



$$D_{eff} = \frac{D_{perf}}{1 + c_{trap} exp(\frac{E_{trap}}{kT})}$$

The predicted effective diffusivity in field of **natural traps** shows consistency with the experimental values at the temperature of **300-600K**.

The predicted effective diffusivity in field of **vacancies** is in agreement with the experimental values at the temperature range of **800-1200K**.





- 1. Our results reveal that both solution and activation energies are strongly temperature-dependent.
- The predicted solubility and diffusivity show good agreement with the experimental data above 1500 K, but present a large difference below 1500 K, which can be bridged by the trapping effects of vacancies and natural trap sites.
- 3. The present study reveals a dramatic effect of temperature and trapping on the hydrogen solution and diffusion properties in tungsten, and provides a sound explanation for the large scatter in the reported values of hydrogen diffusivity in tungsten.
- 4. Generally, the alteration of the diffusivity resulted from the decrease or increase in the activation energy is moderated by the variation of the pre-exponential factor.

## First-principles calculations of transitionmetal solute interactions with point defect and hydrogen in tungsten.

In order to evaluate energetically the transitional metal (TM) solute role in modifying the hydrogen retention behavior.







- Adding Re into W material leads to a decrease in the D concentration at exposure temperatures above 450K.
- It can drop significantly faster in W-Re than in pure W, given sufficiently high temperatures.

Similar changes in retention behavior were not observed in undamaged samples.

Why ?











#### Explanation by Y. Zayachuk in Nucl. Fusion 53 (2013)013013

- 1. Retention at low flux was most probably dominated by trapping on **pre-existing defects**.
- 2. For high ion flux conditions, deuterium retention is strongly influenced by the **plasma induced material modification**. This modification rather than the initial difference in the microstructure predominantly determines the difference in retention between W and W–Ta.









Solute–vacancy binding energies of TM elements in W

- The solute-vacancy interaction is very local, limited to within the second nearest-neighbor shell.
   The binding energy in the range of 0.3~0.6 eV
- ➤The interaction are mostly attractive with a few exceptions



# **Results:** The interaction of TM solute with self-interstitial atom





The interaction range is an ellipsoid around the center of the <111>-crowdion with the semi-major axis 11 Å in <111>-crowdion direction and semi-minor axis 3 Å
 The interaction are mostly attractive with a few exceptions, which is much stronger in the major axis than that in the minor axis



**Results:** The interaction of TM solute with vacancy and



self-interstitial atom



The sequence of the solute effect:

Os>Re>Ta≫V>Hf>Ti







≻ the solute-H interaction is very local, limited within the second nearest-neighbor shell. ► Most of the solute-H binding energies are positive, suggesting an attractive interaction between the solute atom and hydrogen. The exceptions are Re-H and Os-H with a repulsive interaction.





the hydrogen distribution









Re and Os have little effect on the hydrogen distribution while the segregation of hydrogen around Ta, Ti, V, and Hf increases the solubility of hydrogen in W.
The influence of Ta, Ti, V, and Hf on the hydrogen solubility in tungsten is stepdown with increasing temperature.





$$D_{eff} = \frac{D_{perf}}{1 + \frac{N_{Sol}}{N_W} exp(\frac{E_b^{Sol-H}}{kT})}$$

Re and Os exert little effect on the hydrogen effective diffusivity,

and Ta slightly decreases the hydrogen effective diffusivity,

while Ti, V, Hf significantly reduce the hydrogen effective diffusivity.







Retention at low flux was most probably dominated by trapping on pre-existing defects. **No solute effect.** 

Deuterium retention is strongly influenced by the radiation-induced defects. Solute Ta decreases defect concentration





- ➤ The interaction of TM with point defect are mostly attractive with a few exceptions, which are significantly weaker with vacancy than with the SIA. It can be expected that interstitials are slowed down, closes the gap in the mobility bias between interstitial and vacancy type clusters and increase the recombination of the vacancy with interstitial, ultimately reduce the retention of hydrogen in W
- ➤ The attractive interaction between the TM solute atom and hydrogen suggest that TM solutes can trap multiple hydrogen atoms in their neighbor shells to form many small hydrogen clusters and decrease the hydrogen effective diffusivity, which could, to some extent, prevent the occurrence of large bubbles, but may significantly increase the hydrogen retention in tungsten.
- ➤ The combination of these findings could provide a good explanation for recent experimental results.

### Ongoing work: Multi-scale modeling on the hydrogen retention and desorption in tungsten

In order to interpret the experiment results (thermal desorption spectrum) with atomic level.







Ogorodnikova J. Appl. Phys. 103, 034902

Irradiation damage significantly increases the D retention in tungsten. (200eV vs 3keV). However, the experiment can not provide enough information to interpret the retention behavior.











In the our simulation, the program will randomly pick an event according to its reaction rate. Five different events are included:

- Damage created by ion irradiation (MC database)
- Defects migration
- Defects aggregation (clustering/annihilation)
- Point defect detrap from a defect cluster
- Defects absorbed by surface







#### Binding energy parameters:

n	$E^b(V_{n-1}+V_1)$	$E^b(V_1D_{n-1}+D_1)$	$E^b(V_2D_{n-1}+D_1)$	$E^{b}(\mathbf{I}_{n-1} + \mathbf{I}_{1})$	$E^b(I_1D_{n-1}+D_1)$
1	/	1.19	1.39	/	0.33 <sup>[2]</sup>
2	-0.10 <sup>[1]</sup>	1.19	1.42	2.12 <sup>[1]</sup>	/
3	$0.04^{[1]}$	1.09	1.30	3.02 <sup>[1]</sup>	/
4	$0.64^{[1]}$	0.98	1.23	3.60 <sup>[1]</sup>	/
5	0.72 <sup>[1]</sup>	0.90	1.12	3.98 <sup>[1]</sup>	/
6	$0.89^{[1]}$	0.68	1.10	4.27 <sup>[1]</sup>	/
7	$0.72^{[1]}$	0.24	1.02	5.39 <sup>[1]</sup>	/
8	$0.88^{[1]}$	0.32	0.92		/
9	Capillary approximation <sup>[1]</sup>	0.07	0.93	Capillary	/
10		0.30	0.91	approximation <sup>[1]</sup>	/
11		-0.01	0.58		/
12		0.36	0.66		/
>12	Capillary approximation				

Capillary approximation:

<sup>[1]</sup> C.S. Becquart J. Nucl. Mater. 403, 75

<sup>[2]</sup> K. Heinola Phys. Rev. B 82, 094102

$$E^{b}(V_{n-1} + V_{1}) = \left[E^{b}(V_{1} + V_{1}) - E^{f}(V_{1})\right] \left[n^{\frac{2}{3}} - (n-1)^{\frac{2}{3}}\right] / \left(2^{\frac{2}{3}} - 1\right) + E^{f}(V_{1})$$





#### Migration parameters:

n	Migration energy $E^m$ (eV)	Attempt frequency $\gamma$ (THz)	Rotation energy $E^r(eV)$
$V_n$	1.66 <sup>[1]</sup>	$6 \times 1000^{1-n[1]}$	0
In	0.013 <sup>[1]</sup>	$0.013 \times n^{-0.5[1]}$	0.38 for n=1 <sup>[1]</sup> Infinity for n>1
$D_1$	0.22	50.5	0
$V_n D_m$	infinity	0	infinity
$I_n D_m$	infinity	0	infinity

Note: D atoms are repulsive to each other, cannot form pure D-interstitial cluster in tungsten

<sup>[1]</sup> C.S. Becquart J. Nucl. Mater. 403, 75





The simulations conditions are set up according to the published experiment work:

Reference	D ion energy (keV)	Fluence $(D/m^2)$	Implantation temperature (K)
Ogorodnikova. 2008 <sup>[1]</sup>	3	$1 \times 10^{22}$	380
Sakamoto. 1996 <sup>[2]</sup>	4	$1 \times 10^{22}$	300
Nagata. 1999 <sup>[3]</sup>	5	$2.8 \times 10^{22}$	300

- <sup>[1]</sup> J. Appl. Phys. 103, 034902
- <sup>[2]</sup> J. Nucl. Mater. 233-237,776
- <sup>[3]</sup> J. Nucl. Mater. 266-269,1151







The simulated thermal desorption spectrum (TDS). The shape, location and height of simulated TDS are in a good agreement with experiment.





We also find some two kind of disagreement between simulation and experiment:

- Simulations slightly underestimate the desorption rate at temperature 400-500K.
- Present Simulation does not describe the high temperature desorption of the experiment.









Disagreement between 400-500K come from the intrinsic defects:

- The location and value of this discrepancy close to the spectrum of 200 eV implantation (no damage), in 200eV case, D atoms can only be trapped by intrinsic defects(impurity, dislocation, grain boundary...).
- A long time after implantation, this disagreement become obscure. Means that some D atoms are trapped weakly but deep from the surface, require more time to diffuse to the surface.







The corresponding trapping energy is 1.8~2.0 eV, close to the trapping of vacancy:

- Recent DFT shows hydrogen can reduce the migration barrier of vacancy (Em=1.6 eV for V2, Em=0.7 eV for V2H1), make vacancy easier to cluster.
- Stress field reduce the formation energy of vacancy (Ef=3.2eV for strain free, Ef=0 eV for 20% strain). Allow implantation create more vacancy cluster.
   This two effect were not considered in our model,

therefore, the desorption from vacancy cluster might have been underestimated.







- Small vacancy clusters (n<=4) are the main trapping site of D.
- The present simulation shows an unexpected result: more frequent presence of  $V_{128-256}$  make a important contribution.







To find out the reason of this abnormality, we analyzed the depth distribution of vacancies. We find large clusters localized in a few nanometer from the surface. As we know that sputtering can create net vacancy (no corresponding SIA), these vacancies can accumulate and form large cluster near the surface. If we inhibit the sputtering in our model, such large cluster vanished. This mechanism can lead to large vacancy clusters at low fluence, enhance the surface bubbling.







The saturation behavior detected in experiment is also found in the simulation .





>The multi-scale model is capable of simulating the D retention experiments. Simulated results are in good agreements with experiments. Also, it can provide the information that cannot be obtained from the experiment. (TDS analysis, sputtering enhanced bubbling)

>In the high energy (above threshold energy) D implantation experiments, D atoms are mainly trapped by small vacancy clusters (n<=4). Corresponding to the desorption peak between 300~700K.

The surface sputtering effect create net vacancies at the near surface region. These vacancies can accumulate and form large cluster at this region.

